

DECLARATION FOR PATENT APPLICATION

As a below named inventor, We hereby declare that:

Our residence, post office address and citizenship are as stated below next to our name.

We believe we are the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Pigment granulate for coloring non-polar media and methods for the production thereof

the specification of which was filed on September 6, 2001 with the U.S. Patent and Trademark Office under U.S. Application No. 09/914,991.

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

Pursuant to 35 U.S.C. § 371, we hereby request examination of the attached U.S. National Phase application for PCT/EP 98/04442 and we hereby claim foreign priority benefits under Title 35, United States Code, § 371 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign application(s) Number	Country	Day/Month/Year Filed	Yes	No
PCT/EP01/00709	WO	23/01/01	✓	
100 03 248.6	DE	26/01/00	✓	

We hereby declare that all statements herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements and the like may jeopardize the validity of the application or any patent issued thereon.

We hereby appoint Donald J. Pochopien, Registration No. 32,167, as attorney with full power to prosecute the above identified application before the U.S. Patent and Trademark Office, his address being:

McAndrews, Held & Malloy, Ltd.
500 West Madison Street
34th Floor
Chicago, Illinois 60661
312-707-8889

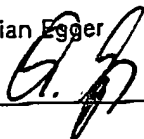
BEST AVAILABLE COPY

1 of 4

Full name of First Inventor:

Christian Egger

Inventor's Signature:



Date: 14 January 2002

Residence:

Via Roma, 39/7b
I-10040 Pralormo
ITALY

Citizenship:

Italia Italian

Post Office Address:

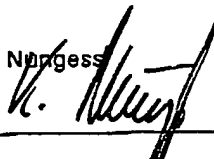
Same as above

2 of 4

Full name of Third Inventor:

Klaus Niggess

Inventor's Signature:



Date: 14 January 2002

Residence:

Im Birkengrund 4
63263 Neu-Isenburg
GERMANY

Citizenship:

German

Post Office Address:

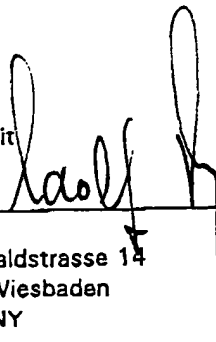
Same as above.

3 of 4

Full name of Third Inventor:

Adolf Veit

Inventor's Signature:



Date: 14 January 2002

Residence:

Niederwaldstrasse 14
65187 Wiesbaden
GERMANY

Citizenship:

German

Post Office Address:

Same as above.

BEST AVAILABLE COPY

4 of 4

Full name of Fourth Inventor: : Stefan Vogler

Inventor's Signature:

Stefan Vogler

Date: 14.1.02
14. January 02

Residence:

Taunusstrasse 3e
65396 Walluf
GERMANY

Citizenship:

German

Post Office Address:

Same as above.

BEST AVAILABLE COPY

13~11501
DJP
CO

RECEIVED
APR 30 2002
By

APRIL 16, 2002

PTAS

Chief Information Officer
Washington, DC 20231
www.uspto.gov

MCANDREWS, HELD & MALLOY LTD
DONALD J. POCHOPIEN ESQ
34TH FLOOR
500 WEST MADISON STREET
CHICAGO IL 60661



101991198A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/13/2002

REEL/FRAME: 012587/0192
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
EGGER, CHRISTIAN

DOC DATE: 01/14/2002

ASSIGNOR:
NUNGESS, KLAUS

DOC DATE: 01/14/2002

ASSIGNOR:
VEIT, ADOLF

DOC DATE: 01/14/2002

ASSIGNOR:
VOGLER, STEFAN

DOC DATE: 01/14/2002

ASSIGNEE:
BROCKHUES GMBH & CO. KG
MUHLSTRASSE 118
WALLUF 65396, FED REP GERMANY

SERIAL NUMBER: 09914991
PATENT NUMBER:

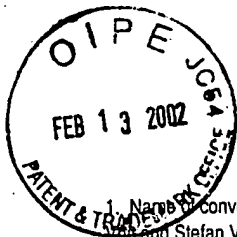
FILING DATE: 01/28/2002
ISSUE DATE:

012587/0192 PAGE 2

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

02-22-2002

Attorney Docket No. 1820/13261US01



101991198

Office of Patents and Trademarks:
Please record the attached original document or copy thereof.

B/10

1. Name of conveying Party(ies): Christian Egger, Klaus Nungess, Adolf
Vogler and Stefan Vogler

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2-13-02

2. Name and Address of Party(ies) receiving an interest:

BROCKHUES GMBH & CO.KG
Mühlstrasse 118, Walluf 65396
GERMANY

Additional name(s) & address(es) attached:

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Change of Name ☐ Other (Specify)

☐ Security ☐ Merger
Agreement

Execution Date: January 14, 2002

4. Application number(s) or patent number(s). U.S. Application No. 09/914,991

If this document is being filed together with a new application, the execution date of the application is:

Date

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Donald J. Pochopien, Esq.
McAndrews, Held & Malloy, Ltd.
34th Floor
500 West Madison Street
Chicago, Illinois 60661

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

Enclosed \$40.00 ☒

Authorized to be charged to deposit account.

8. Deposit account number (Attach duplicate copy of this form if paying by deposit account): 13-0017

----- DO NOT USE THIS SPACE -----

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald J. Pochopien, Esq. (Registration No. 32,167)

Name of Person Signing

Donald J. Pochopien
Signature

Date: January 28, 2002

02/22/2002 LMUELLER 00000047 09914991

01 FD-581

40.00 OP

BEST AVAILABLE COPY

ASSIGNMENT

WHEREAS, Christian Egger, Klaus Nungess, Adolf Veit and Stefan Volger, hereinafter referred to ASSIGNORS, have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

Pigment granulate for coloring non-polar media and methods for the production thereof

Serial No.: 09/914,991

Filing Date: September 6, 2001

WHEREAS, Brockhues GmbH & Co., KG, Mhlstrasse 118, Walluf 65396, GERMANY, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in the same invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Mark (1.00 DM) lawful money paid to ASSIGNOR by ASSIGNEE, receipt of which is hereby acknowledged, ASSIGNORS have sold, assigned and transferred, and by these presents do sell, assign and transfer until said ASSIGNEE, and ASSIGNEE's successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNOR had this assignment and transfer not been made, to the full end and term of any Letters Patent.

ASSIGNORS also agree that the U.S. serial number may be entered above by ASSIGNEE or its agents upon designation of the serial number by the U.S. Patent and Trademark Office.

ASSIGNORS further agree that they will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed their name to this assignment on the dates indicated below:

(Inventor 1 of 4)

Date: 14. January 2002

G. Egger
Christian Egger

Witnessed by me this 14 day of January, 2002

Witness: K. Nungesser

Date: 14. January 2002 (Print Name) K. NUNGESS

(Inventor 2 of 4)

Date: 14. January 2002

K. Nungesser
Klaus Nungesser

Witnessed by me this 14 day of January, 2002

Witness: Ch. Egger

Date: 14. January 2002 (Print Name) Ch. Egger

(Inventor 3 of 4)

Date: 14. January 2002

Adolf Veit
Adolf Veit

Witnessed by me this 14 day of January, 2002

Witness: Stefan Vogler

Date: 14. January 02 (Print Name) STEFAN VOGLER

(Inventor 4 of 4)

Dat : 14.1.02 Stefan Vogler
Stefan Vogler

Witnessed by me this 14 day of January, 2001.

Witness: U. Dreyer

Date: 14.1.02 (Print Name) Dr. Ulrich Dreyer